

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent No.: 6,930,170

) Examiner: Jiang, Dong

Issued: August 16, 2005

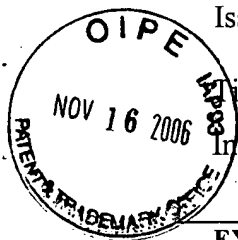
) Art Unit: 1646

Title: **PRO1184 POLYPEPTIDES**

) Attorney's Docket No. 39780-2730P1C19

Inventors: Desnoyers, et al.

) Customer No. 35489



EXPRESS MAIL LABEL NO. EL 976 551 966 US

DATE MAILED: OCTOBER 17, 2006

**PETITION PURSUANT TO 37 C.F.R. § 1.324, CORRECTION OF
INVENTORSHIP IN AN ISSUED PATENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

This Petition seeks to add Ellen Filvaroff as a joint inventor in the above-identified patent. Ms. Filvaroff was inadvertently and without deceptive intent not included as a joint inventor in the above-identified patent. Enclosed are the following documents in support of this Petition:

- (a) a statement signed by Ms. Filvaroff indicating that the error in inventorship in the above-referenced patent occurred without deceptive intent on her part;
- (b) a statement by the currently named inventors that they agree to the requested change;
- (c) a statement by Genentech, Inc., assignee of the entire title, right and interest in the above-captioned patent, agreeing to the requested change of inventorship; and
- (d) the fee set forth in 37 C.F.R. § 1.120(b) (\$130.00).

11/20/2006 RHEBRAHT 00000008 081641 6930170

01 FC:1464

130.00 DA

Petition Under 37 C.F.R. §1.324
Patent No. 6,930,170
Attorney's Docket No. 39780-2730P1C19

Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. **08-1641 (Attorney's Docket No. 39780-2730P1C19)**. Please direct any calls in connection with this application to the undersigned at the number provided below.

Respectfully submitted,

Date: Sept 6, 2006

Daphne Reddy
Daphne Reddy
Reg. No. 53,507

HELLER EHRMAN LLP
275 Middlefield Road
Menlo Park, California 94025-3506
Telephone: (650) 324-7000
Facsimile: (650) 324-0638

SV 2197132 v1
4/4/06 3:41 PM (39780.2730)

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Date Mailed:

STATEMENT BY ASSIGNEE UNDER 37 C.F.R. § 1.324(b)(3)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir,

The undersigned is empowered to act on behalf of the assignee below (the "Assignee"). The assignment is recorded at Reel 012176 and Frame 0450. This assignment represents the entire chain of title of this invention from the Inventor(s) to the Assignee.

The undersigned hereby states that Assignee agrees to the correction of the inventorship of the above-identified patent to include Ellen Filvaroff as a joint inventor.

Respectfully submitted,

Date: _____

Title:

Address: 1 DNA Way
South San Francisco, CA 94080

SV 2197133 v1
4/4/06 3:02 PM (39780.2730)

Mark T. Kresnak, Ph.D.
Associate General Counsel-Patent Law
Genentech, Inc.
Authorized Corporate Signatory

Statement Under 37 C.F.R. §1.324(b)(3)
Patent No. 6,930,170
Attorney's Docket No. 39780-2730P1C19

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In re Patent No.: 6,930,170

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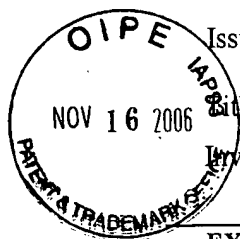
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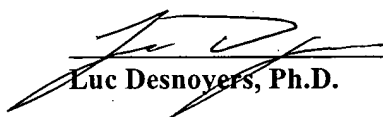
STATEMENT OF CURRENTLY NAMED INVENTORS
UNDER 37 C.F.R. § 1.324(b)(2)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

We, Luc Desnoyers, Audrey Goddard, Paul J. Godowski, Austin Gurney and William I. Wood, the currently named joint inventors in the above-identified patent, hereby state that we agree to the addition of Ellen Filvaroff as an inventor, as requested in a petition to correct inventorship pursuant to 35 U.S.C. § 256.

Respectfully submitted,



Luc Desnoyers, Ph.D.

04/10/2006

Date

Audrey Goddard, Ph.D.

Date

Paul J. Godowski, Ph.D.

Date

Austin Gurney, Ph.D.

Date

William I. Wood, Ph.D.

Date

SV 2197136 v1
4/4/06 3:01 PM (39780.2730)

Statement Under 37 C.F.R. §1.324(b)(2)
Patent No. 6,930,170
Attorney's Docket No. 39780-2730P1C19

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In re Patent No.: 6,930,170

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Luc Desnoyers, Ph.D.

Date

A. Goddard

Audrey Goddard, Ph.D.

4/20/06

Date

Paul J. Godowski, Ph.D.

Date

Austin Gurney, Ph.D.

Date

William I. Wood, Ph.D.

Date

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4/4/06 3:01 PM (39780.2730)

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Date

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Date

Paul J. Godowski, Ph.D.

Date

Austin Gurney, Ph.D.

Date

William I. Wood, Ph.D.

Date

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4/4/06 3:01 PM (39780.2730)

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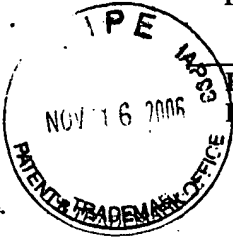
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) Attorney's Docket No. 39780-2730P1C19

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UNDER 37 C.F.R. § 1.324(b)(2)

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Luc Desnoyers, Ph.D.

Date

Audrey Goddard, Ph.D.

Date

Paul J. Godowski, Ph.D.

Date



Austin Gurney, Ph.D.

Date 9/17/08

William I. Wood, Ph.D.

Date

SV 2197136 v1
4/4/06 3:01 PM (39780.2730)

Statement Under 37 C.F.R. §1.324(b)(2)
Patent No. 6,930,170
Attorney's Docket No. 39780-2730P1C19



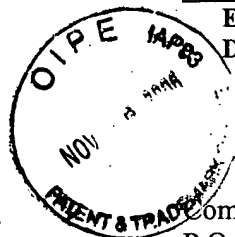
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In re Patent No.: 6,930,170) Examiner: Jiang, Dong
)
Issued: August 16, 2005) Art Unit: 1646
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Inventors: Desnoyers, et al.) Customer No. 35489
)

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UNDER 37 C.F.R. § 1.324(b)(2)



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Luc Desnoyers, Ph.D.

Date

Audrey Goddard, Ph.D.

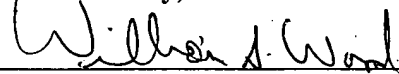
Date

Paul J. Godowski, Ph.D.

Date

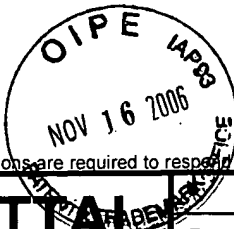
Austin Gurney, Ph.D.

Date



William I. Wood, Ph.D.

4/10/06
Date



PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

for FY 2006

Effective 10/01/2003. Patent fees are subject to annual revision.

Complete if Known

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$ 130.00

Patent No.	6,930,170
Issue Date	AUGUST 16, 2005
First Named Inventor	LUC DESNOYERS
Examiner Name	JIANG, DONG
Art Unit	1646
Attorney Docket No.	39780-2730 P1C19

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit
Account
Number
Deposit
Account
Name

08-1641

HELLER EHRMAN, LLP (39780-2730 P1C19)

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	300	2001	150	Utility filing fee	
1002	200	2002	100	Design filing fee	
1003	200	2003	100	Plant filing fee	
1004	300	2004	150	Reissue filing fee	
1005	200	2005	100	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below		Fee Paid
Total Claims	<input type="text"/>	-20** =	<input type="text"/>	X	<input type="text"/>	= <input type="text"/>
Independent Claims	<input type="text"/>	-3** =	<input type="text"/>	X	<input type="text"/>	= <input type="text"/>
Multiple Dependent					<input type="text"/>	= <input type="text"/>

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	50	2202	25	Claims in excess of 20	
1201	200	2201	100	Independent claims in excess of 3	
1203	360	2203	180	Multiple dependent claim, if not paid	
1204	200	2204	100	** Reissue independent claims over original patent	
1205	50	2205	25	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	500	2452	250	Petition to revive - unavoidable	
1453	1,500	2453	750	Petition to revive - unintentional	
1501	1,400	2501	700	Utility issue fee (or reissue)	
1502	800	2502	400	Design issue fee	
1503	1,100	2503	550	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	130.00
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130.00

SUBMITTED BY

(Complete (if applicable))

Name (Print/Type)	GINGER R. DREGER	Registration No. (Attorney/Agent)	33,055	Telephone	(650) 324-7000
Signature		Date	NOVEMBER 15, 2006		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.